

Investor Charter

Vision Statement

To enable investors to invest wisely through expert research, integrity, and a secure, transparent investment research ecosystem.

Mission Statement

To empower investors to make informed and confident investment decisions by providing independent, unbiased, and research-backed investment recommendations, helping them manage their portfolios effectively and achieve their long-term financial goals.

Business Philosophy & Scope of Activities

Option Brains Capital Private Limited is committed to maintaining the highest standards of professionalism, transparency, and integrity in investment research. Our core activities include:

- Publishing research reports based on in-depth market analysis and structured research methodologies.
 - Providing an independent and objective perspective on securities without bias or undue influence.
 - Offering unbiased investment recommendations with full disclosure of any financial interest, if applicable, in the recommended securities.
 - Basing all research recommendations strictly on publicly available information and well-documented market observations.
 - Conducting an annual audit to ensure regulatory compliance, transparency, and adherence to SEBI regulations.
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Services Provided to Investors

- **Client Onboarding:** Ensuring a smooth, transparent, and compliant onboarding process for investors.
- **Fair Disclosure:** Providing research reports and investment recommendations to clients in a fair, equitable, and non-discriminatory manner.

- **Confidentiality:** Maintaining strict confidentiality of research publications until they are released in the public domain and safeguarding client information at all times.
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Grievance Redressal Mechanism

Option Brains Capital Private Limited has established a structured grievance redressal mechanism to address investor concerns promptly and fairly.

- Investors are encouraged to approach **Option Brains Capital Pvt. Ltd.** directly for resolution of grievances within **30 days**.
- If the grievance remains unresolved, investors may escalate the matter to **SEBI** through **SCORES (SEBI Complaints Redress System)**, SEBI's centralized web-based grievance redressal platform.

SEBI Physical Complaints Address

Office of Investor Assistance and Education
Securities and Exchange Board of India (SEBI)
SEBI Bhavan, Plot No. C4-A, 'G' Block,
Bandra-Kurla Complex, Bandra (East),
Mumbai – 400 051

Investor Responsibilities

Do's

- Engage only with **SEBI-registered Research Analysts**.
- Verify the validity of the Research Analyst's SEBI registration certificate.
- Check and confirm the SEBI registration number of the Research Analyst.
- Carefully read disclosures and disclaimers in research reports before making investment decisions.
- Make payments only through proper banking channels and retain duly signed receipts.
- Review research recommendations thoroughly before investing in securities or public offerings.
- Ask relevant questions and seek clarifications from the Research Analyst when in doubt.

- Report to SEBI if any Research Analyst promises assured or guaranteed returns.

Don'ts

- Do not provide funds to the Research Analyst for direct investment or trading.
- Do not rely on market rumors, misleading advertisements, or unsolicited tips.
- Avoid being influenced by limited-period discounts, gifts, incentives, or promotional offers.
- Do not share trading account, demat account credentials, or passwords with the Research Analyst.

Company Details

Company Name: Option Brains Capital Private Limited

SEBI Registration No.: INH000020174

E-mail: support@optionbrains.com

Registered Address:

Office No. 306, 3rd Floor,
Regency Landmark Building,
Ulhasnagar, Thane,
Maharashtra – 421002

Contact Person: Manish Duseja

Commitment to Investors

Option Brains Capital Private Limited is committed to ethical, transparent, and compliant investment research practices. We prioritize investor protection, informed decision-making, and long-term financial growth while strictly adhering to SEBI regulations and professional standards.