

Amc Financial Services : Investor Empowerment & Service Framework

1. Vision and Strategic Mission

Amc Financial Services operates with a core philosophy of **"Invest with knowledge & safety"**.

Vision: To empower investors by providing transparent, research-driven insights that lead to confident financial decisions.

Mission: To ensure every investor can access the right products based on their specific needs while managing and monitoring them to achieve long-term financial wellness.

2. Operational Standards of the Research Analyst

The firm outlines specific business practices to maintain professional integrity:

Independent Analysis: They provide an unbiased and independent view on all securities.

Transparency: Recommendations are offered without bias, and any financial interests are fully disclosed.

Data-Driven Methodology: Insights are derived strictly from publicly available information.

Accountability: Detailed records of all client interactions and research publications are maintained.

3. Investor Rights and Service Commitments

The Investor Charter guarantees several fundamental rights to ensure a secure investment journey:

Fair Treatment: Right to equitable, timely, and non-discriminatory service.

Transparency: Right to fair and transparent fees, along with full disclosure of business risks and potential conflicts of interest.

Data Protection: A commitment to respecting data privacy and protecting confidential information.

Exit & Redressal: The right to exit services and the right to be heard through a formal grievance process.

4. Grievance Redressal & Escalation Matrix

Amc Financial Services provides a clear path for resolving concerns within a defined timeframe:

Primary Resolution: Investors should first approach the research analyst. The firm commits to resolving grievances within **21 working days**.

SEBI Escalation: If unsatisfied, clients can lodge a complaint via **SEBI SCORES (scores.sebi.gov.in)** or send physical complaints to the SEBI Office in Bandra-Kurla Complex, Mumbai.

Final Resort (ODR): Disputes can be further escalated to the **Online Dispute Resolution (ODR) Portal at smartodr.in**.

5. Current Performance Data (As of March 2026)

The platform's data reflects a high level of satisfaction or a clean operational record:

Total Complaints: 0

Resolved/Pending: 0

Average Resolution Time: N/A

Contact for Support: Email info@amcfinancials.com or reach out to the Compliance Officer **Amit Chatterjee** at compliance@amcfinancials.com.